



Model-Free, Internal-Variable-Free, Data-Driven Plasticity

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Data-Driven Science: Taxonomy

- The emerging paradigm: *Data-Driven Science*:
 - Model-based methods: Identify model from data
 - *Model-free methods*: Make predictions from data
- *Data-Driven Computational Mechanics*:
 - Deterministic problems:
 - History-independent (elasticity, dynamics...)
 - *History-dependent* (viscoelasticity, *plasticity*...)
 - Stochastic problems
- *Data-Driven plasticity*:
 - Internal-variable formulations
 - *Internal-variable-free formulations* (path based)
- *Praxis*: Data mining, path sampling, phase space coverage, accuracy, convergence...

Computational mechanics in a data-rich world

- Material data is currently plentiful due to dramatic advances in *experimental science* (DIC, EBSD, microscopy, tomography...) and multiscale computing (DFT → MD → DDD → SM → Hom)

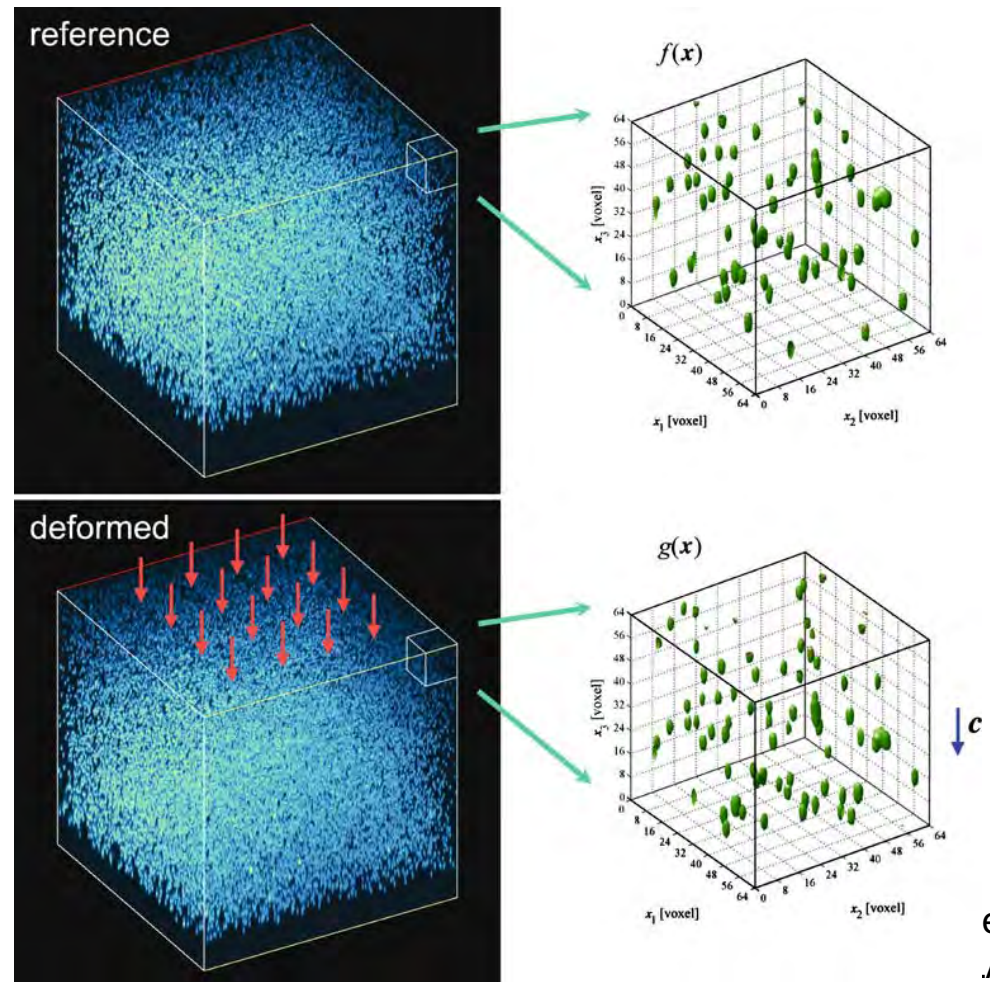
Digital Volume Correlation

(DVC): Two confocal volume images of an *agarose gel* with randomly dispersed fluorescent particles before and after mechanical loading. The *full displacement vector field* is measured using 3D volume correlation methods.

C. Franck, S. Hong, S.A. Maskarinec,
D.A. Tirrell & G. Ravichandran,
Experimental Mechanics, **47** (2007)
427–438.

Data-Driven Identification:

A. Leygue, M. Coret, J. Réthoré,
L. Stainier & E. Verron,
IJNME, **331** (2018) 184-196.



Computational mechanics in a data-rich world

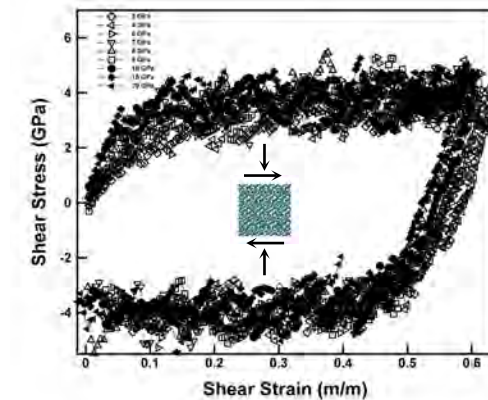
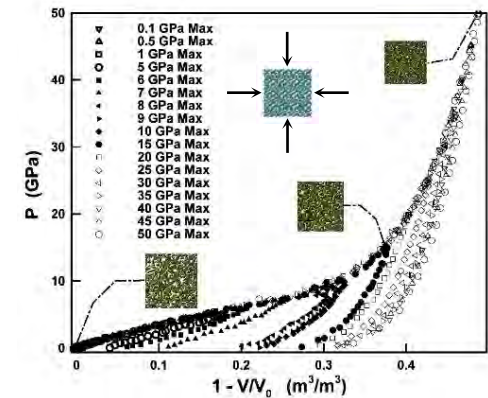
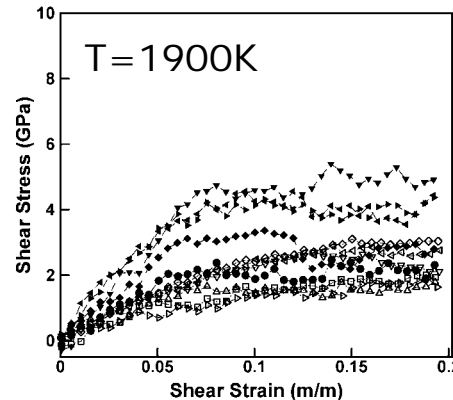
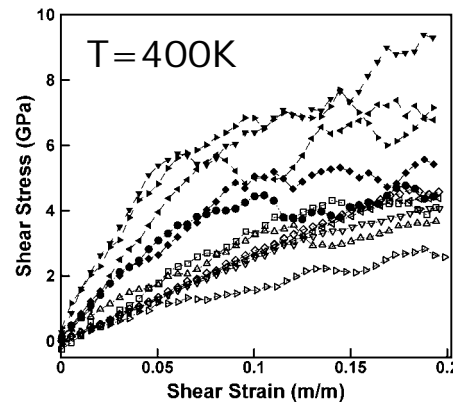
- Material data can also be generated in large volumes from high-fidelity *micromechanical calculations* (DFT, MD, DD...)
- New role for *multiscale analysis*: Data generation

Amorphous SiO₂ glass:

LAMMPS MD calculations of amorphous silica glass under *pressure-shear* loading over a range of *temperatures* and *strain rates*. RVEs are quenched from the melt, then analyzed using the BKS potential with Ewald summation.

Schill, W., Heyden, S., Conti, S. & MO, *JMPS*, **113** (2018) 105-125.

Schill, W., Mendez, J.P., Stainier, L. & MO, *JMPS*, **140** (2020) 103940.



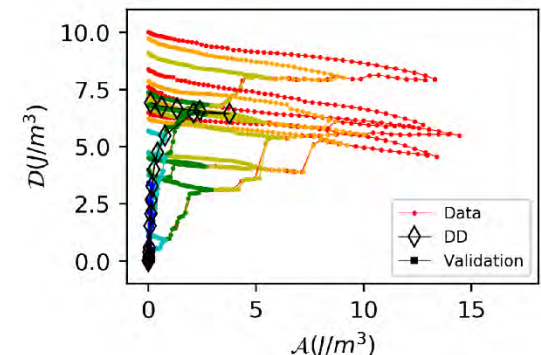
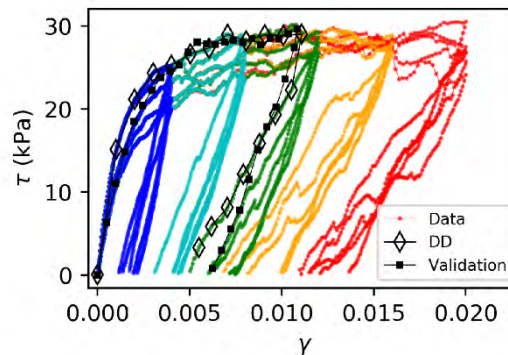
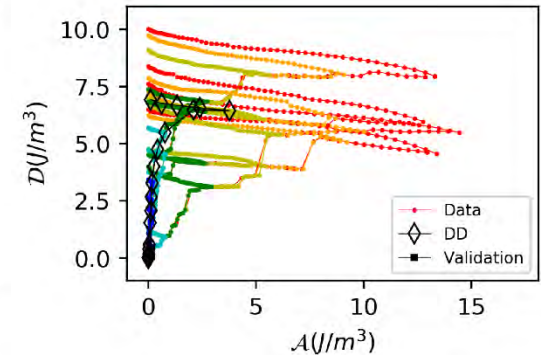
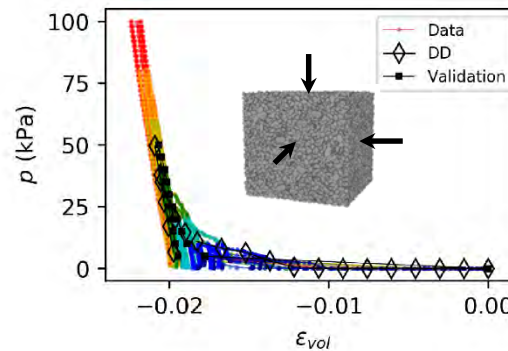
Computational mechanics in a data-rich world

- Material data can also be generated in large volumes from high-fidelity *micromechanical calculations* (DFT, MD, DD...)
- New role for *multiscale analysis*: Data generation

Granular matls. (dry sand):
Level-Set Discrete Element Method (LS-DEM) simulation of granular material samples. 3D irregular *rigid particles* interact through *frictional contact*. Particle morphology described by level-set functions. Note calculation of *dissipation and free energy*.

Karapiperis, K., Harmon, J., And, E.,
Viggiani, G. & Andrade, J.E.,
JMPS, **144** (2020) 104103.

Karapiperis, K., Stainier, L., Ortiz, M.
& Andrade, J.E., *JMPS*, **147** (2021)
104239.



Computational mechanics in a data-rich world

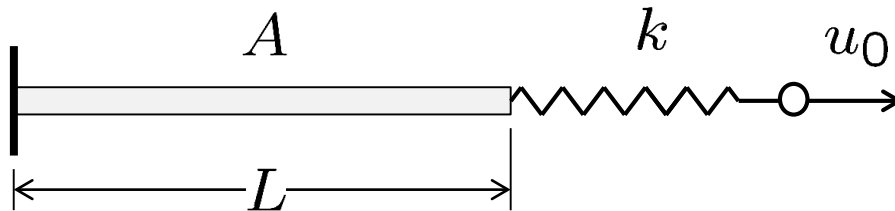
- The unprecedented *abundance of material data* presents new challenges and opportunities
- Two main strategies:
 - *Model the data, use models in BVP calculations*
 - *Embed the data directly into BVP calculations*

Model-Based:	Data	→	Model	→	Prediction
Model-Free:	Data	→			Prediction

- *Critique of Model-Based computing*: Modeling results in loss of information, introduces biases, modeling error, epistemic uncertainty, is open-ended, *ad hoc*...
- Model-Free computing: *Cut out the middle man!*

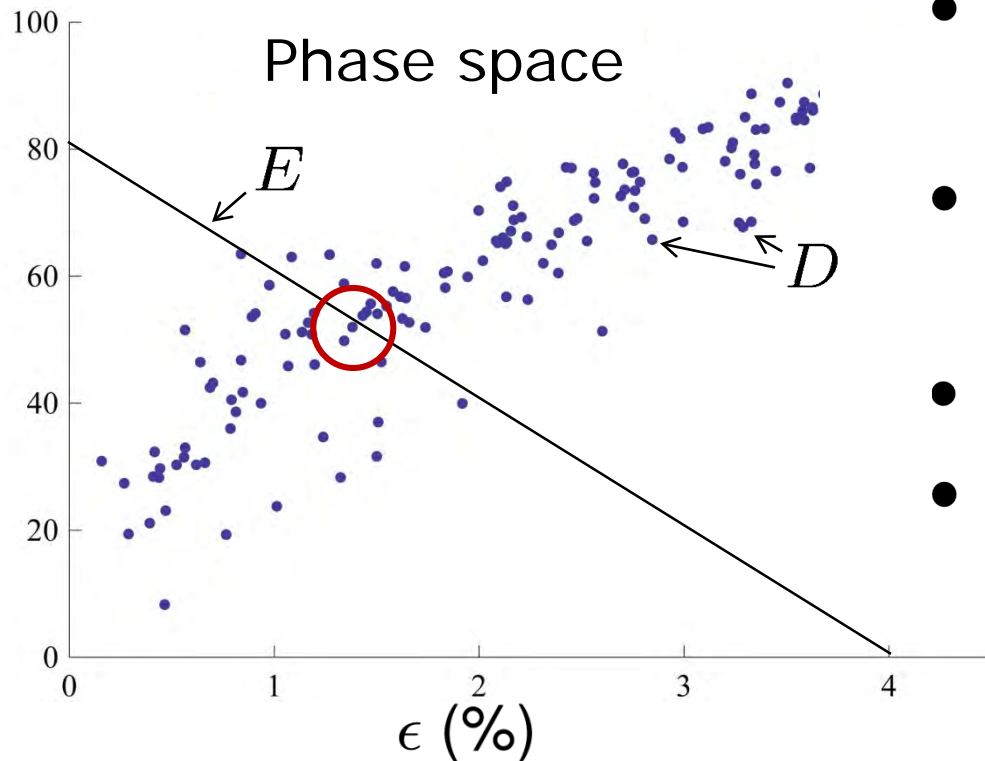
The data, all the data, nothing but the data

Data-Driven history-independent problems



Problem: Bar actuated by loading device

σ (MPa)



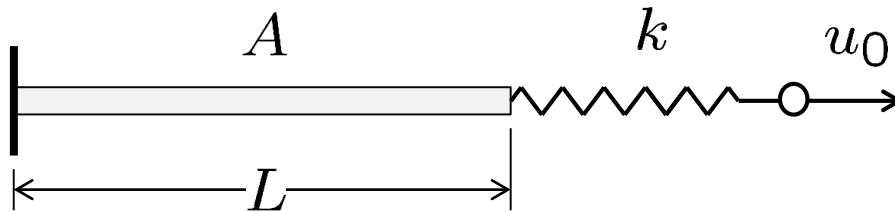
- Phase space: $\{(\epsilon, \sigma)\} \equiv Z$
- Compatibility + equilibrium:

$$\sigma A = k(u_0 - \epsilon L)$$
- Constraint set:

$$E = \{\sigma A = k(u_0 - \epsilon L)\}$$
- Material data set: $D \subset Z$
- Data-Driven solution:

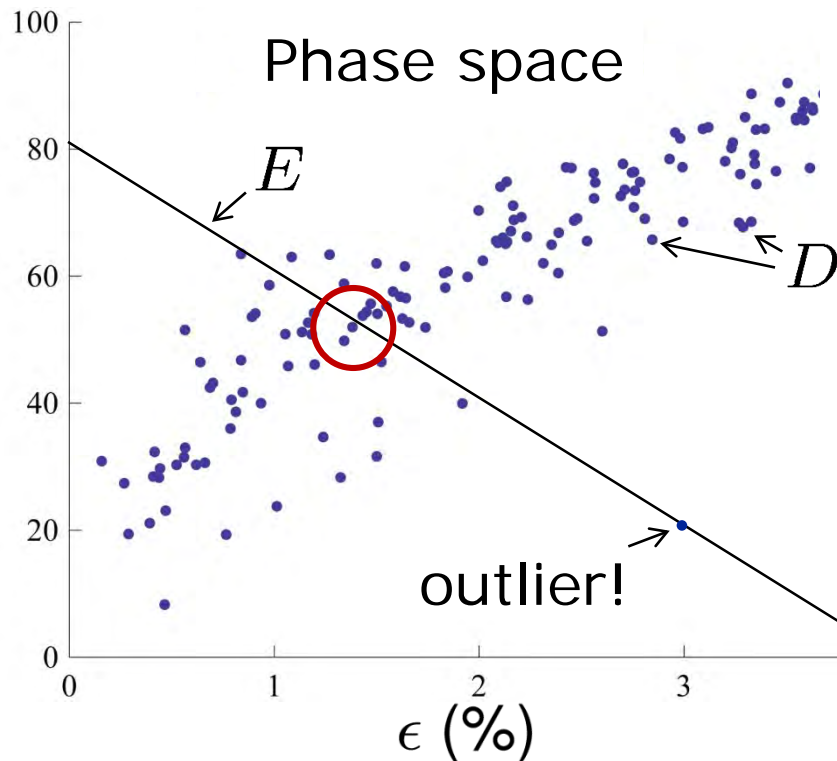
$$\min_{z \in E} \text{dist}(z, D)$$

Data-Driven history-independent problems



Problem: Bar actuated by loading device

σ (MPa)



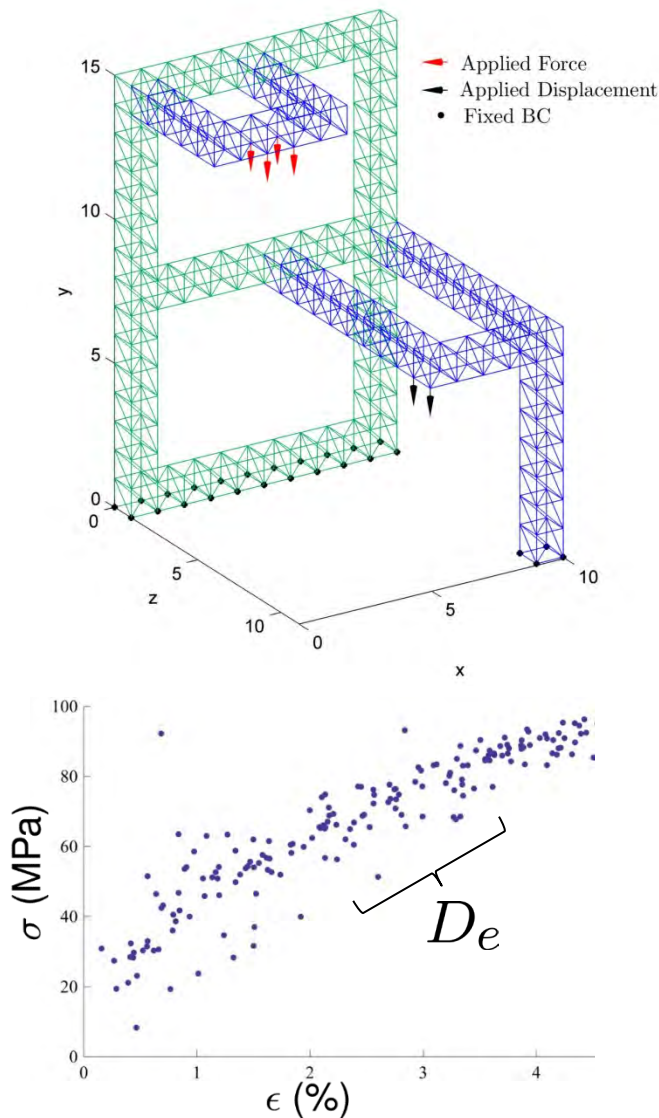
- Phase space: $\{(\epsilon, \sigma)\} \equiv Z$
- Compatibility + equilibrium:

$$\sigma A = k(u_0 - \epsilon L)$$
- Constraint set:

$$E = \{\sigma A = k(u_0 - \epsilon L)\}$$
- Material data set: $D \subset Z$
- With outliers (k -means):

$$\min_{z \in E} \left(-\frac{1}{\beta} \log \sum_{i=1}^N e^{-\beta \text{dist}^2(y_i, z)} \right)$$

Data-Driven history-independent problems



Problem: Structure under applied loads and displacements

- Phase space: $\{(\epsilon_e, \sigma_e)_{e=1}^m\} \equiv Z$
- Compatibility + equilibrium:

$$\epsilon = Bu, \quad B^T \sigma = f$$

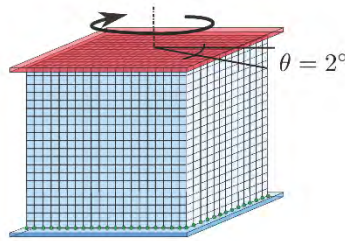
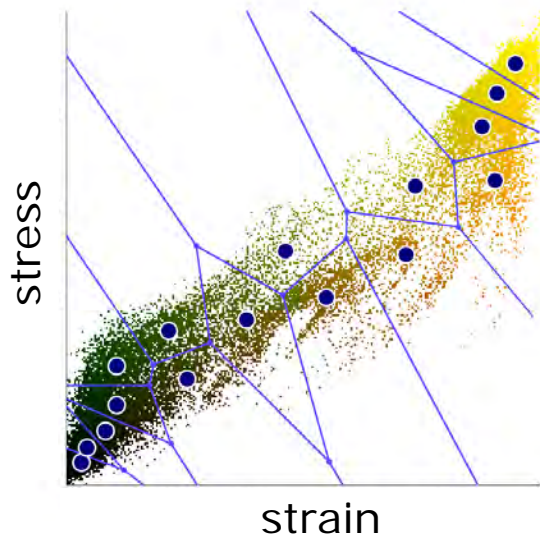
- Constraint set:

$$E = \{(\epsilon, \sigma) : \epsilon = Bu, \quad B^T \sigma = f\}$$

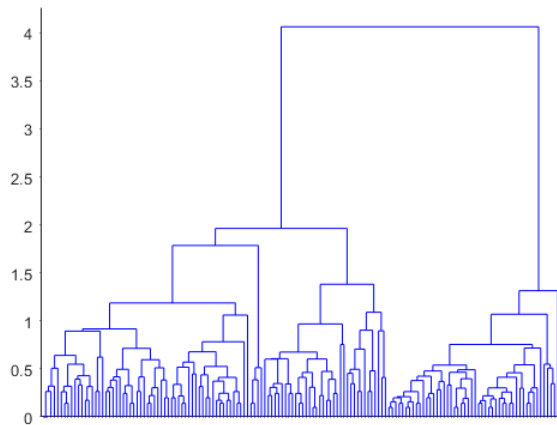
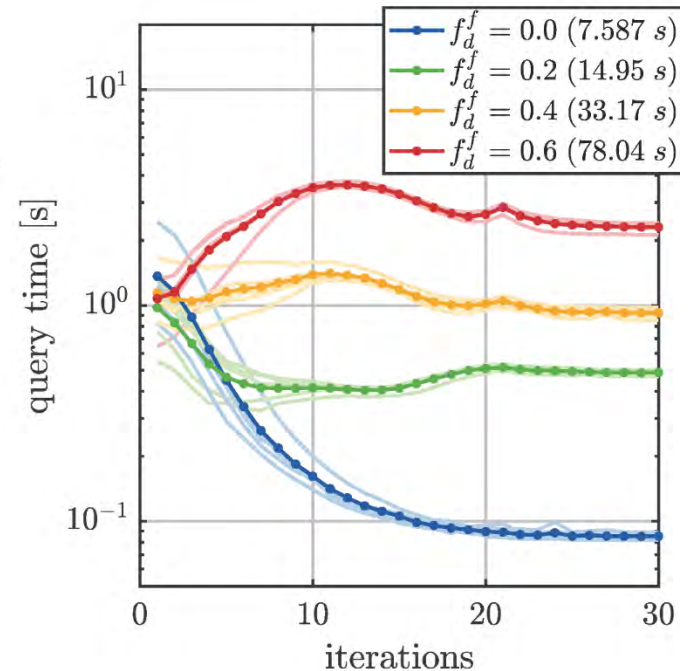
- Material data set: $D \subset Z$
- Data-Driven solution:

$$\min_{z \in E} \text{dist}(z, D)$$

Data-Driven history-independent problems



Test problem:
Torsion of
20x20x20 cube
64000 matl pts



K-means hierarchical structure

- Test problem: Torsion of cube
- Mesh: 20x20x20, 64000 matl pts
- Material data set: **1 billion points**
- Approx ***k-means*** search, 0.1 secs
- ***Set-oriented machine learning!***
- We learn the ***structure of the data set***
- No regression, ***no loss of information!***

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Data-Driven history-independent problems

- History-independent Data-Driven problems can be extended to the *PDE (infinite-dimensional)* setting: Linear elasticity¹, finite elasticity^{2,3}...
- Well-established *existence and uniqueness* properties^{1,2} of solutions of Data-Driven BVPs
- *Relaxation, approximation, Γ -convergence*^{1,2}
- *Convergence with respect to data*, deterministic^{1,2} or stochastic (maximum likelihood⁴, inference⁵)
- *History-independent Data-Driven problems are in good shape. History-dependent materials?*

¹Conti, S., Müller, S. & Ortiz, M., *ARMA*, **229** (2018) 79-123.

²S. Conti, S. Müller and M. Ortiz, *ARMA*, **237** (2020) 1–33.

³A. Platzter, A. Leygue, L. Stainier and M. Ortiz, *CMAME*, 379 (2021) 113756.

⁴T. Kirchdoerfer and M. Ortiz, *CMAME*, **326** (2017) 622-41.

⁵S. Conti, F. Hoffmann and M. Ortiz, *arXiv* (2021) 2106 02728.

History-dependent materials

- Goal: Extend the Data-Driven paradigm to inelastic materials whose response is *history dependent*.

"The characteristic property of inelastic solids which distinguishes them from elastic solids is the fact that the stress measured at time t depends not only on the instantaneous value of the deformation but also on the entire history of deformation¹."

- The theory of *materials with memory* furnishes the most general representation of inelastic materials.
- Alternative: Replace history by the effects of history, the current *microstructure* (internal state)
- Variables used to describe that microstructure, within a *continuum thermodynamics* framework, are referred to as *internal variables*

¹ R. S. Rivlin, "Materials with memory." *Tech. Rep. AD-753 460*, ONR, 1972. COMPLAS 2021

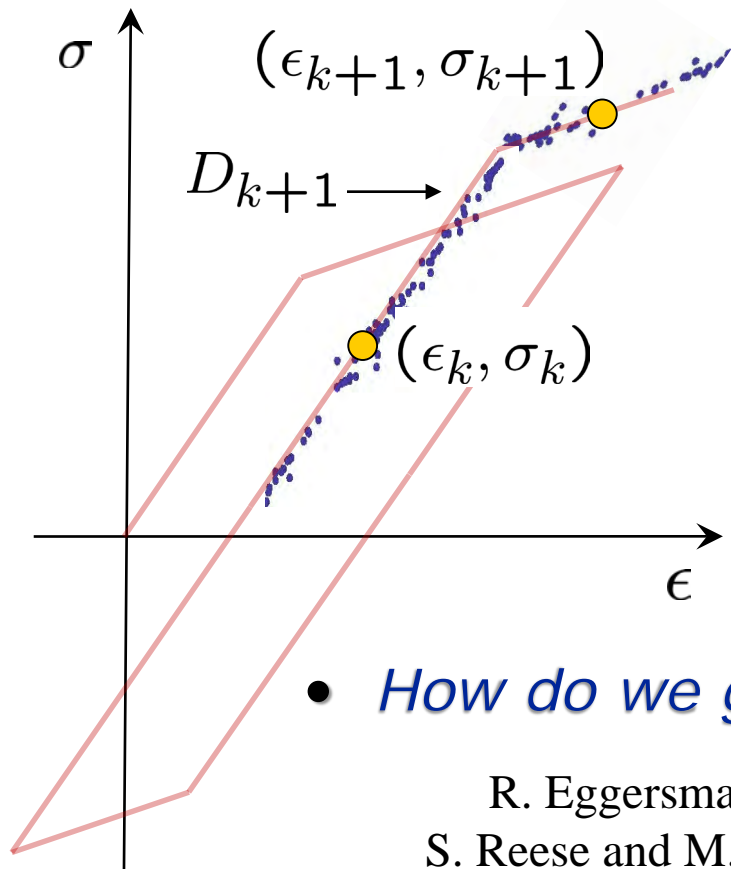
A critique of internal variables

- The concept of *internal variables* was introduced into thermodynamics by Onsager (1931), applied to continuum mechanics by Eckart (1948), others...
- Kestin and Rice (1967): The internal variable formalism cannot represent materials with *continuous relaxation spectrum* (e.g., polymers)
- Phenomenological models: The *choice of internal variables* is often *ad hoc*, no notion of *convergence*
- Internal variables can be redundant and defined up to *reparametrizations* only (no intrinsic meaning)
- *Instead: Develop Data-Driven representations that are internal-variable-free (path-based)! How?*

Data-Driven history-dependent problems

- *Incremental material set*: Set of points in phase space *accessible* from (ϵ_k, σ_k) given prior history:

$$D_{k+1} = \{(\epsilon_{k+1}, \sigma_{k+1}) : (\epsilon_k, \sigma_k), \text{ history}\}$$



- Data-driven problem:

$$\min_{z \in E_{k+1}} d(z, D_{k+1})$$

- Need *material history data*! (from material testing along selected loading paths...)
- History data must provide adequate *path coverage*...

- *How do we generate, store, structure, path data?*

Data-Driven history-dependent problems

- How do we generate, store, structure, *path data*?
- *What is the intrinsic structure* of history-dependent (path) data for plastic materials?
- Need to revisit the *differential geometry* of incremental plasticity in phase space:
 - *Non-integrable differential or Pfaffian forms...*
 - *Maximum-dissipation connections...*
 - *History data: Directed graphs in phase space!*
- How do we provide adequate *path coverage*?
Object-oriented path sampling, accuracy control, feedback...

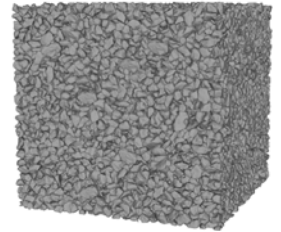
Incremental plasticity in phase space

- Geometry of *phase-space trajectories*?
- What neighboring points can be *accessed* incrementally along trajectories?
- Assume *continuum thermodynamics* with fully resolved internal state (micromechanical system)
- Free energy $A(\epsilon, \theta, q)$ convex, dual $A^*(\sigma, \eta, p)$

\downarrow
 \downarrow internal variables
 \downarrow temperature
 \downarrow strain

\downarrow
 \downarrow driving forces
 \downarrow entropy
 \downarrow stress
- By convexity and the Fenchel-Young theorem, the Coleman-Noll equilibrium relations are equivalent to

$$A + A^* - \sigma \cdot \epsilon + \eta \theta + p \cdot q = 0$$



Incremental plasticity in phase space

- Geometry of *phase-space trajectories*?
- By convexity and the Fenchel-Young theorem, the Coleman-Noll equilibrium relations are equivalent to

$$A + A^* - \sigma \cdot \epsilon + \eta \theta + p \cdot q = 0$$

- Assume *rate-independent* Onsager kinetics:
 - *Elastic domain* C , convex (by second law)
 - Dual *dissipation potential*: $\psi(\xi) = \sup \{p \cdot \xi : p \in C\}$
- By convexity and the Fenchel-Young theorem, the *flow rule* and *yield condition* are equivalent to:

$$\psi(dq) - p \cdot dq = 0 \quad \text{and} \quad p + dp \in C$$

Incremental plasticity in phase space

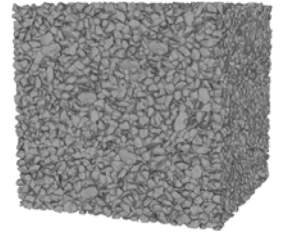
- Summary of governing equations:

- Coleman-Noll equilibrium relations,

$$d(A + A^* - \sigma \cdot \epsilon + \eta \theta + p \cdot q) = 0$$

- Incremental kinetic relations,

$$\psi(dq) - p \cdot dq = 0 \quad \text{and} \quad p + dp \in C$$

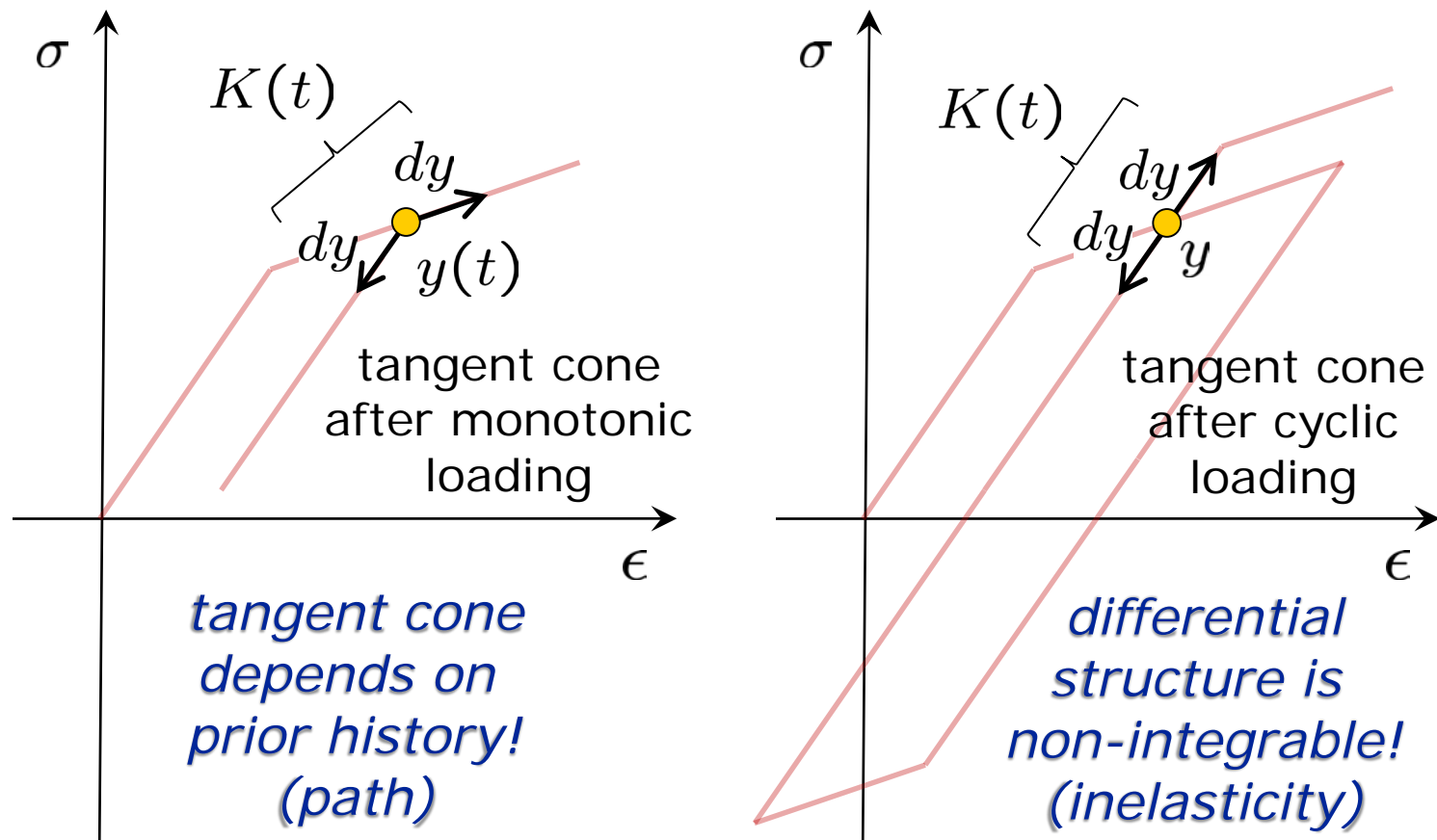


- *Eliminate* $(dq, dp) \Rightarrow$ *tangent cone*

$$K(t) = \{ dy = (d\epsilon, d\theta; d\sigma, d\eta) \text{ 'out of } y(t)\text{' } \}$$

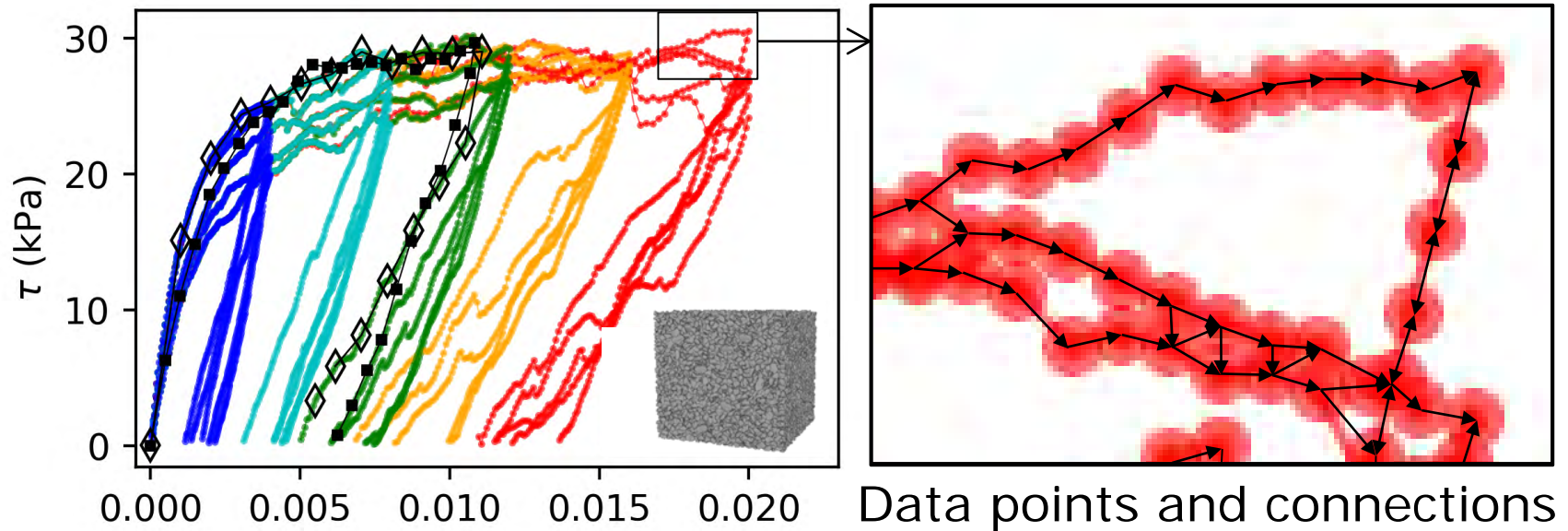
- *Tangent cone*: Collection of possible *connections* between neighboring points in phase space
- Defines a (non-integrable) *differential form*
- Solutions are the *integral curves* (trajectories)

Incremental plasticity in phase space



- *Differential view of plasticity*: Points in phase space and '*connections*' between neighboring points defining possible incremental moves...

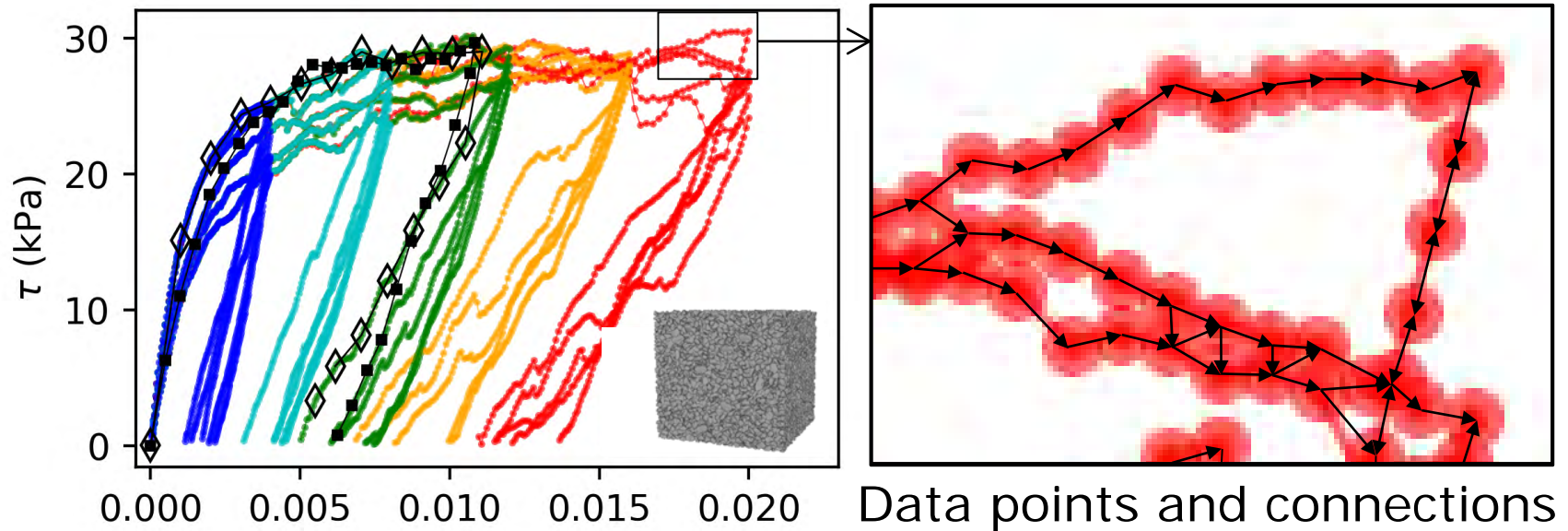
Building path data from micromechanics



- i) Evaluate RVE for selected loading paths
- ii) Record $(\epsilon_i, \theta_i; \sigma_i, \eta_i)$, connect points i and j if
$$\psi(q_j - q_i) - \left\langle \frac{p_i + p_j}{2}, q_j - q_i \right\rangle \leq \text{TOL}$$
- iii) Discard internal state (q_i, p_i) (*int. var. free!*)

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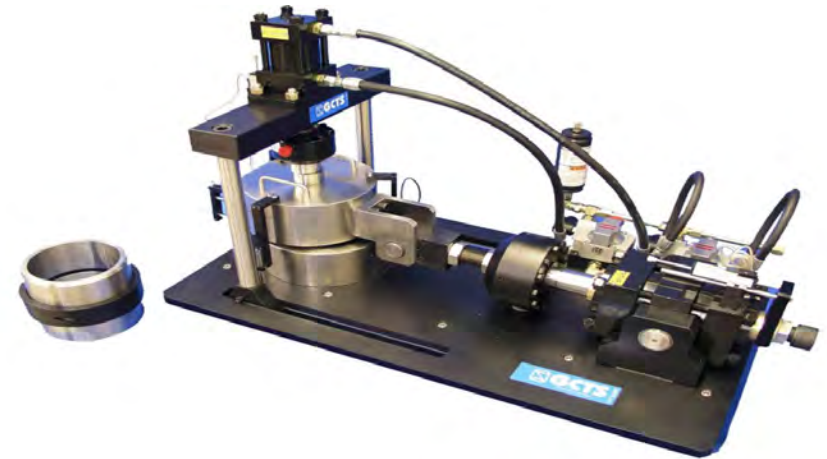
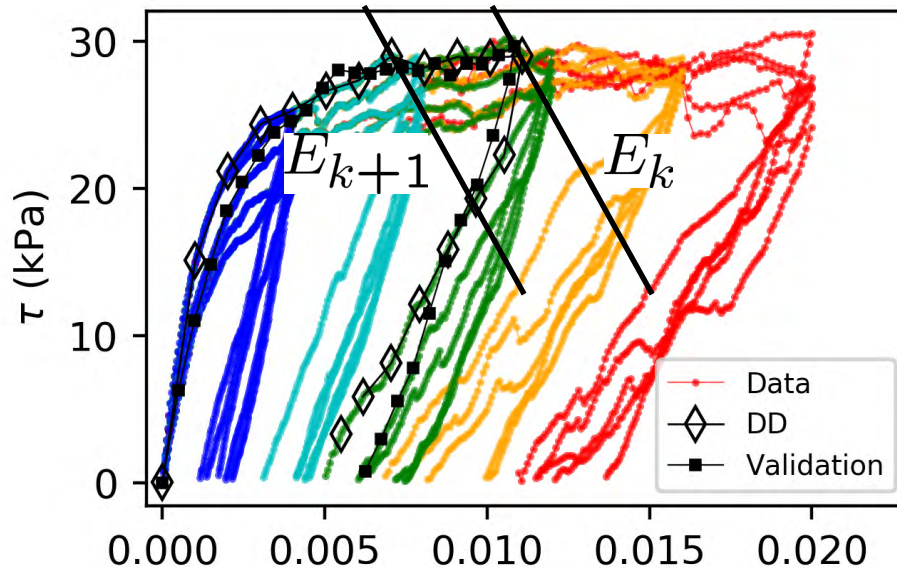
Building path data from micromechanics



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$$Diss_{i \rightarrow j} + A_j - A_i - \left\langle \frac{\sigma_i + \sigma_j}{2}, \epsilon_j - \epsilon_i \right\rangle \leq \text{TOL}$$
- iii) Discard internal state (q_i, p_i) (*int. var. free!*)

Incremental Data-Driven plasticity problem



Direct shear test device

- *Material data set: Directed graphs in phase space!*
- Incremental material data set:

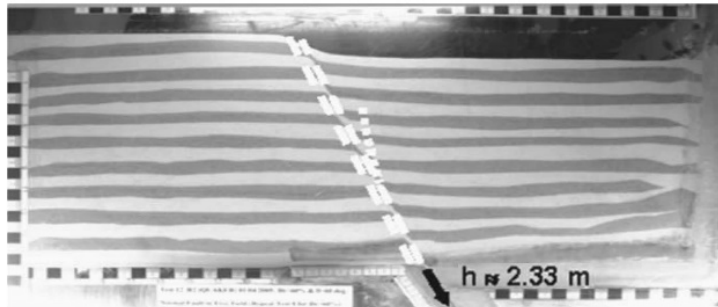
$$D_{k+1} = \{(\epsilon_{k+1}, \sigma_{k+1}) : \text{accessible from } (\epsilon_k, \sigma_k)\}$$

- Data-driven problem: $\min_{z \in E_{k+1}} d(z, D_{k+1})$

- *Path dependence, loading/unloading irreversibility!*

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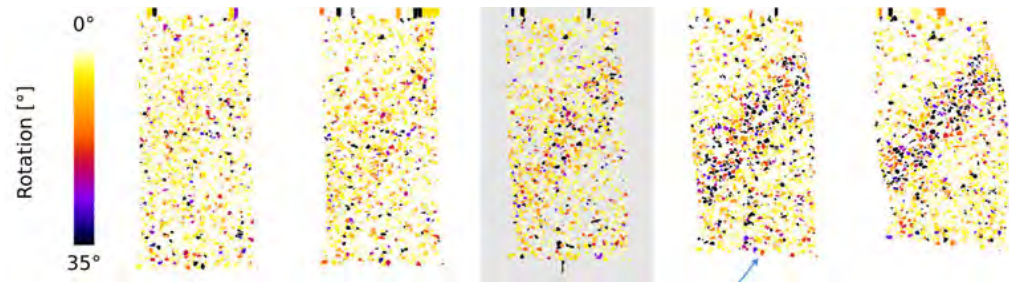
Test case – Data-Driven sand mechanics



Fault rupture experiment in sandbox configuration.¹

Sand is pluviated into a 10m x 30m container. A piston forces right half to subside, inducing fault rupture at 30° to horizontal

¹Anastasopoulos, I., *et al.*,
J. Geotech. Geoenviron. Eng.,
133 (2007) 943–958.

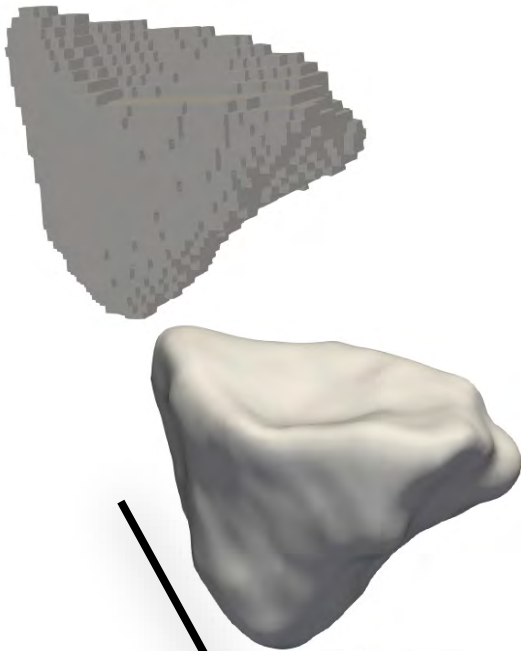


Triaxial compression test,²
angular Hostun sand,
100 kPa confining pressure,
11 mm x 22 mm samples
in latex membrane.
Particles tracked by XRMT.
Failure occurs by
shear banding

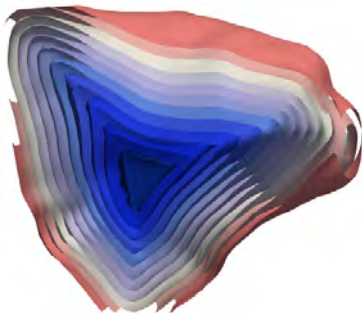
²Andò, E., *et al.*,
Acta Geotech., **7** (2012) 1-13.

Virtual experiments with LS-DEM

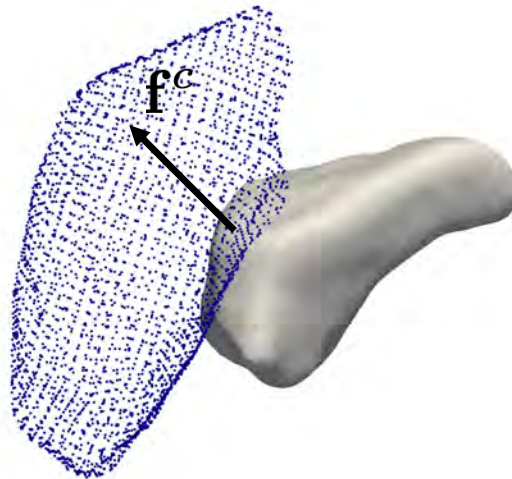
Morphology



Level-set
imaging



Grain-grain
interaction

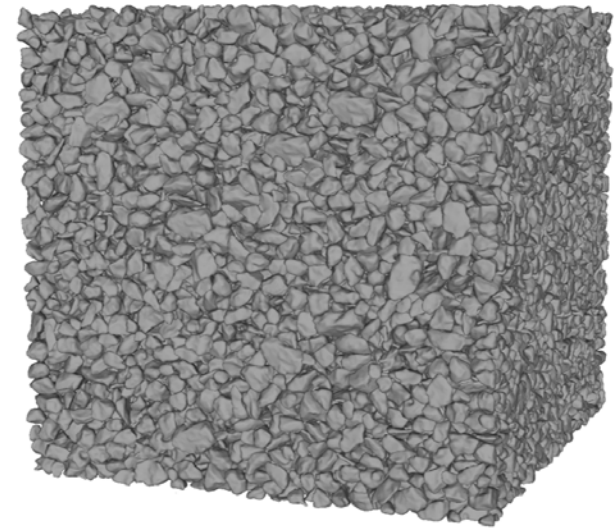


$$f^c = f_n^c + f_t^c$$

f_n^c : Hertzian

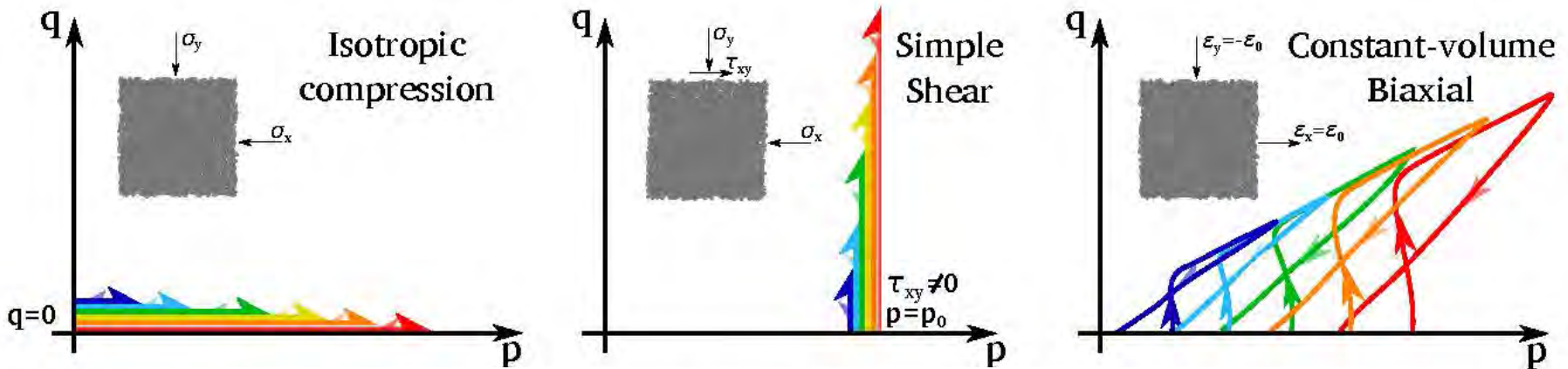
f_t^c : Coulomb

RVE
generation

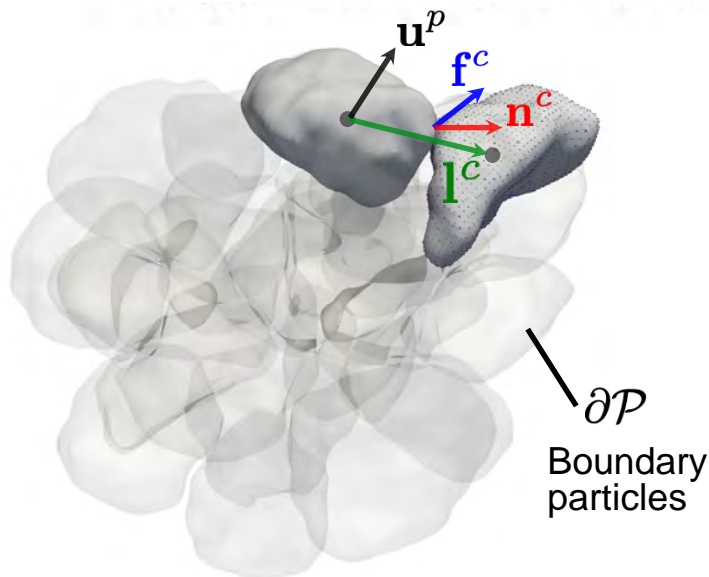


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Hastun angular sand – Path sampling

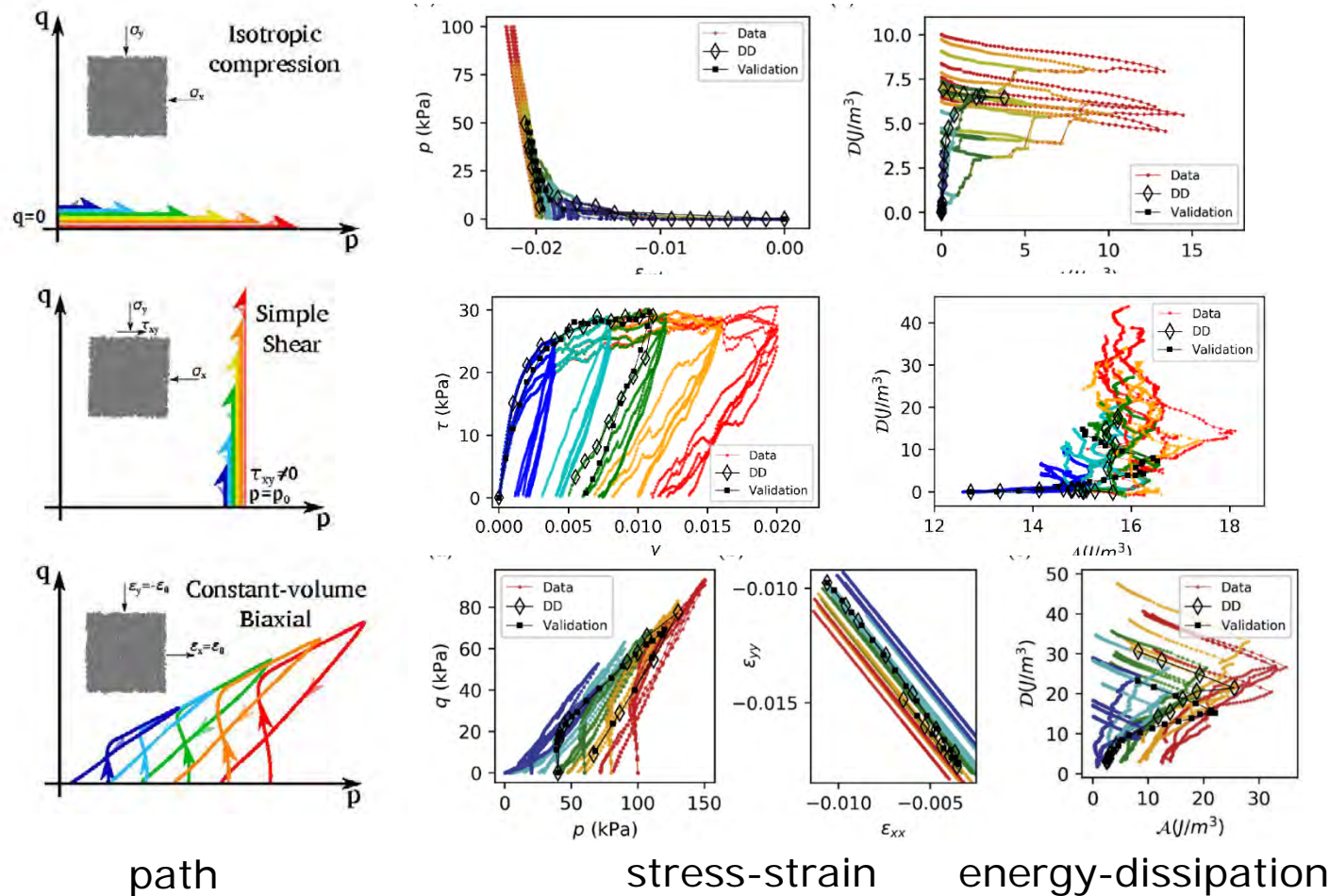


Selected paths for building the material data repository



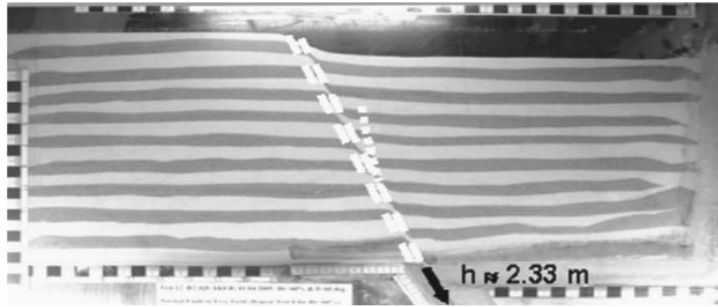
$$\left\{ \begin{aligned} \boldsymbol{\varepsilon} &= \frac{1}{2V} \text{sym} \left(\sum_{p \in \partial\mathcal{P}} \mathbf{u}^p \otimes \mathbf{n}^p \right) \\ \boldsymbol{\sigma} &= \frac{1}{V} \text{sym} \left(\sum_{c \in \mathcal{C}} \mathbf{f}^c \otimes \mathbf{l}^c \right) \\ \mathcal{A} &= \sum_c \mathcal{A}^c = \frac{1}{2V} \sum_c \left(\frac{\|\mathbf{f}_n^c\|^2}{k_n} + \frac{\|\mathbf{f}_t^c\|^2}{k_t} \right) \\ d\mathcal{D} &= \sum_c d\mathcal{D}^c = \frac{1}{V} \sum_c \mathbf{f}_t^c \cdot d\mathbf{u}^{c,slip} \end{aligned} \right.$$

Hastun angular sand – Path sampling

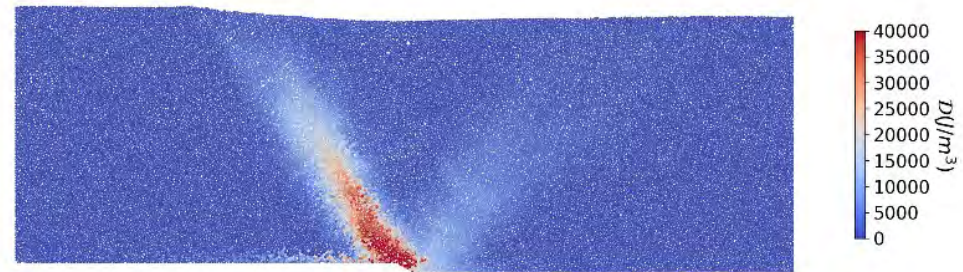


Compilation of path data for Hastun angular sand
computed from RVEs using LS-DEM

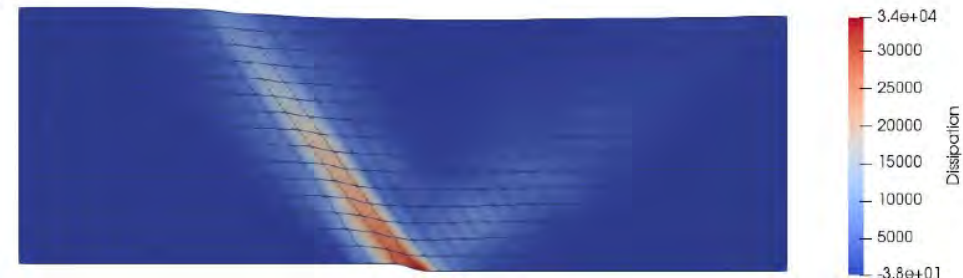
Sand mechanics – Fault rupture experiment



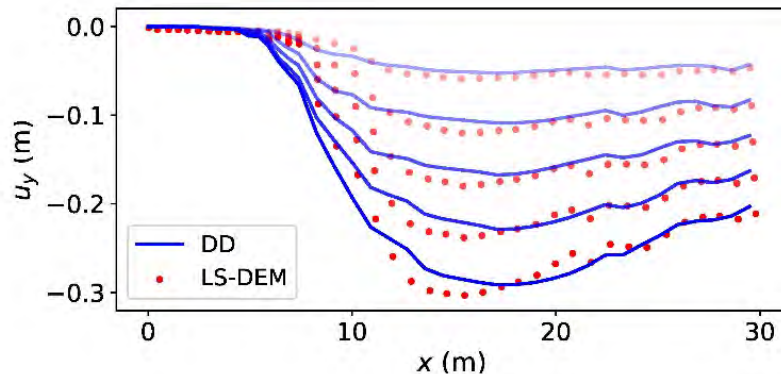
Experimental fault rupture experiment



LS-DEM simulation



Data-Driven simulation

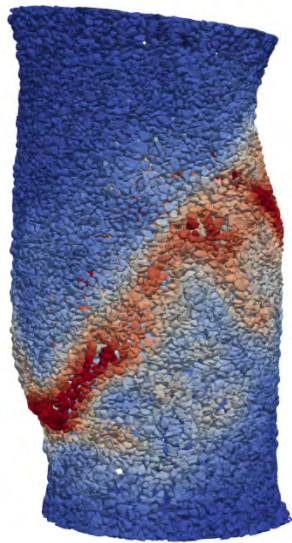


Evolution of surface settlement
LS-DEM vs. DD simulations

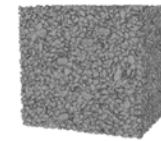
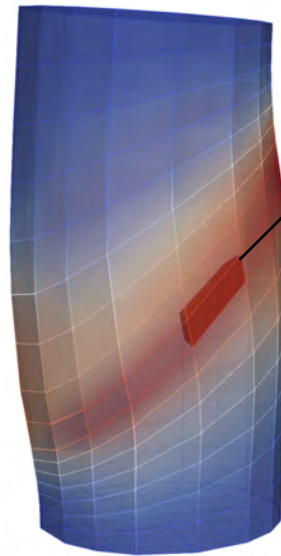
Data-Driven – Fault rupture experiment

LS-DEM

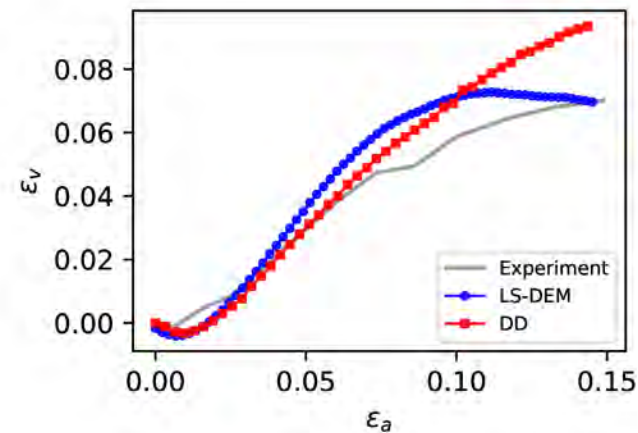
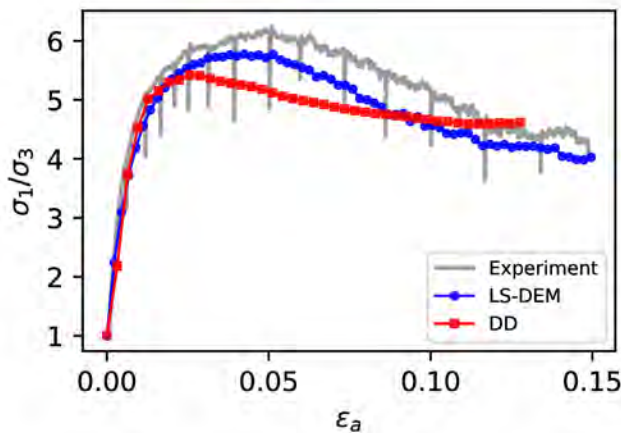
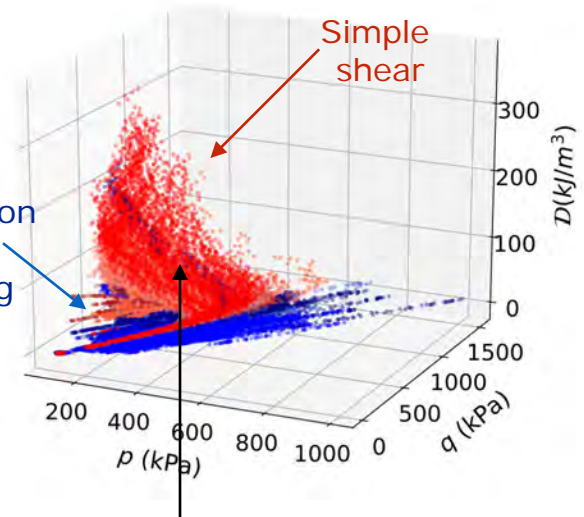
DD



0.4
0.3
0.2
0.1
0
Deviatoric strain



Triaxial compression with unloading



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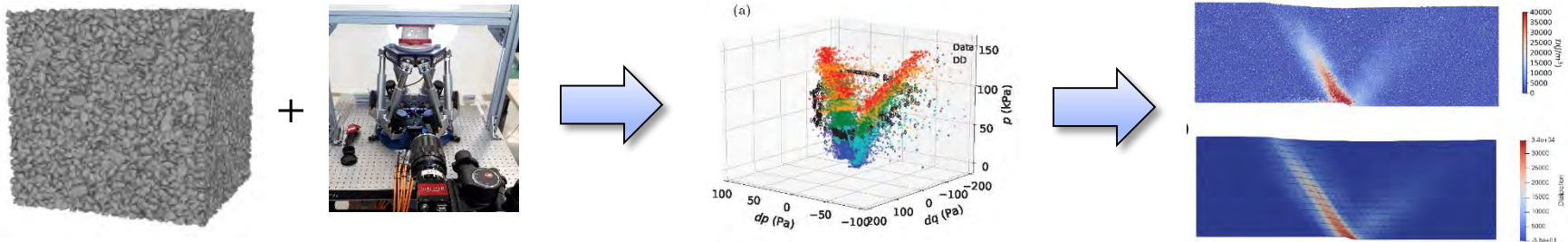
Path selection, coverage, convergence

- Path coverage must be *goal oriented* (tailored to specific problems)
- *Main path types* are often known beforehand for specific problems (initial path-data repository)
- Monitor local *path-distance error* at individual material points
- *Evaluate RVEs for local paths* that are *farther* from the existing path repository *than a given tolerance*
- *Add new RVE path data to repository*
- *Iterate till convergence*

Karapiperis, Konstantinos (2021) *Multiscale, Data-Driven and Nonlocal Modeling of Granular Materials*. Dissertation (Ph.D.) California Institute of Technology. doi:10.7907/7rtg-x780.
<https://resolver.caltech.edu/CaltechTHESIS:12182020-181342301>

Concluding remarks

- *It is possible to formulate DD approximation schemes for history-dependent materials that are model-free and internal-variable free*
- *Path data for rate-independent plasticity has the structure of directed graphs in phase space*
- *DD sets forth new opportunities for synergism between experimental science and scientific computing, new multiscale analysis paradigm*



- *Model-free DD mechanics represents a paradigm shift in material data management and exchange in the mechanics of materials community*

Concluding remarks

Thank you!